MEUEIVEU

OCT 2 9 2001

USPTO Form 1449 (modified)
Patent and Trademark Office
INFORMATION USCLOS **CITATION**

Page 1 of 9

Attorney Docket No. 061765.00035 (3356/US)

TECH CENTER 1600/2900 09/912,233

Applicant(s): TREMONT et al.

Examiner: Unassigned

				Filing Date: July 25,	Group: 16147 1634		
			U.S. PATE	NT DOCUMENTS			
Examiner Initial	Doc Tab No.	Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
BC	1	3,262,850	07/26/66	Glynne et	167	65	
QL.	2	3,287,370	11/22/66	Mohrbacher dol.	8%6	397	
BZ	3	3,389,144	06/18/68	Mohrbacher &.	860	294.8	
BL	4	3,520,891	07/21/70	Mohrbacher	<i>\$</i> %0	268	
BC	5	3,674,836	07/04/72	Creger	260	4736	
BC	6	3,692,895	09/19/72	Nelson &	424	78	
<u>ec</u>	7	3,714,190	01/30/73	Boissier et a.	260	327 TK	
BC	8	3,781,328	12/25/73	Witte & منا.	ನಿಡರಿ	यमारि	
BC.	9	3,948,973	04/06/76	Phillips	960	4736	
BL	10	3,962,261	06/08/76	Zinnes &	260	294.8	-
8c	11	3,972,878	08/03/76	Schirmann &		2409	
BL	12	3,983,140	09/28/76	Endo et al.	260	343.5	
BC	13	4,002,750	01/11/77	Ambrogi et al.	4 ୭ 4	2.56	
BR	14	4,058,552	11/15/77	Mieville	566	\$2	
Sec.	15	4,185,109	01/22/80	Rosen	494	275	
BC	16	4,231,938	11/04/80	Monaghan et	260	343.5	
Be	17	4,251,526	02/17/81	McCall	424	248.51	
. BC	18	4,346,227	08/24/82	Terahara &	360	119	
Be	19	4,444,784	04/24/84	Hoffman &.	424	279	
BC	20	4,559,332	12/17/85	Grob & J.	514	175	
<u>G</u> C	21	5,075,293	12/24/91	Reifschneider at	4.514	80	
BC	22	5,153,184	10/06/92	Reifschneider et	4514	ଞ୍ୟ	
BC	23	5,158,943	10/27/92	Sohda et al.	514	96	
Be	24	5,244,887	09/14/93	Straub	514	182	
BC	25	5,260,316	11/09/93	Van Duzer &tel.	514	309	
BL	26	5,334,600	08/02/94	Van Duzer & a.	514	309	
BC	27	5,350,761	09/27/94	Van Duzer A.	514	373	
BC	28	5,354,772	10/11/94	Kathawala	514	714	
BC.	29	5,430,116	07/04/95	Kramer &	52 6	284	
BC	30	5,502,045	03/26/96	Miettinen & W	314	182	
Be	31	5,512,558	04/30/96	Enhsen et al.	514	183	
BC	32	5,519,001	05/21/96	Party of al.	514	13	
De.	33	5,602,152	02/11/97	Berthelon &	514	₹हृह	
Q1	34	5,610,151	03/11/97	Glombik &	314	172	
BC	35	5,663,165	09/02/97	Brieaddy	514	211	
BC	36	5,703,188	12/30/97	Mandeville et al	526	290	
BC	37	5,705,524	01/06/98	McGee et al.	314	431	
BC	38	5,723,458	03/03/98	Brieaddy etal.		211	
€C	39	5,767,115	06/16/98	Rosenblum &	514	210	
THE	40	5,929,062	07/27/99	Haines	514	189	

426149_1.DOC

MECLIVED

OCT 2 9 2001

QCT 2 5 2009 TECH CENTER 1600/2900 USPTO Form 1449 (modified) Patent and Trademark Office Attorney Docket No. Patent and Trademark OTTAL SCLOSES INFORMATION SISCLOSES 061765.00035 09/912,233 (3356/US) CITATION Applicant(s): TREMONT et al. Page 2 of 9 Examiner: Unassigned Group: 16147 1624 Filing Date: July 25, 2001 11/30/99 431 41 5,994,391 Lee et al. 314 42 02/01/00 Enhsen et al. 6,020,330 314 211 BC Bischofberger क्रम. अ।५ 43 6,034,118 03/07/00 431 FOREIGN PATENT DOCUMENTS Document No. Date **Country** Class Subcla Translation Examiner Initial YES NO 44 AU-A-30209/92 12/17/92 Australia BL X 45 AU-A-61946/94 06/05/94 Australia BC Х AU-A-61948/94 46 06/05/94 Australia \mathbf{B} X 47 AU-A-61949/94 06/05/94 Australia X BA 48 2,025,294 03/15/91 Canada BC 49 2,078,588 03/22/93 Canada æ 50 06/21/93 Canada 2,085,782 Q(06/21/93 Canada 51 2,085,830 QL. 52 0 067 086 10/05/82 Europe KW BR 02/01/85 53 0 129 748 Europe Q1 r c 54 0 250 265 06/19/87 Europe (QL 55 0 338 331 06/04/89 Europe KA QC 56 0 379 161 01/17/90 *** Europe GC 57 0 508 425 A1 09/04/92 Europe 02/03/93 58 0 526 402 A1 Europe a 59 0 531 901 A2 02/09/92 BL Europe 60 0 549 967 A1 12/17/92 Europe 17-15 BC 61 0 559 064 A2 02/24/93 Europe WE BE 03/22/93 62 0 563 731 A1 Europe 04/27/93 Europe ध्रभ &C 63 0 568 898 A1 64 0 796 846 A1 07/03/97 Europe HA BL Х 65 15/10/97 BL 0 801 060 A1 Europe 66 RATE BOC 0818197A1 06/25/97 Europe X AN GC 67 0818448A1 06/24/97 Europe X 68 2 661 676-A1 02/05/90 France AN BC 196 27 430 A1 08/07/96 69 Germany х at BC BR 70 1211258 02/01/68 Germany 71 10-287662 10/27/98 Japan BC *** X 72 89/01477 02/23/89 **PCT** Abst \mathfrak{A} 73 06/13/91 **PCT** Q(91/08205 Abst 74 PCT 92/17467 10/15/92 Abst 75 92/18115 10/29/92 **PCT** Abst OL 76 10/29/92 **PCT** OL. 92/18462 Abst BC 77 93/16055 08/19/93 **PCT** Abst 78 10/28/93 **PCT** Abst BC 93/21146 79 **PCT** 94/18183 08/18/94 Abst BC

426149_1.DOC

OCT 2 9 2001

TECH CENTER 1600/2900

TIEDTO E- 1440	USPTO Form 1449 (modified) Patent and Trademark Office INFORMATION DISCLOSE AND LINE TO THE PARTY OF THE PART				Attorney Docket No. Serial No.				
Patent and Tradema	(modified) ark Office	U.S. Department of Comm	erce			•	Serial No.		
INFORMA	TION DIS	CLOSCAL		061765.			09/912,233		
CITATION				(3356/	/US) [
Page 3 of 9				Applicant(s): TR	REMONT et al.				
						_	Examiner: Una	ssigned	
				Filing Date: July	25, 2001	<u> </u>	Group: 16147	1694	
BL_	80	94/18184	08/18/94	PCT			Abst		
HA BL	81	94/24087	10/27/94	PCT			Abst		
<u>e</u> L	82	95/21843	08/17/95	PCT			Abst		
BL	83	96/05188	02/22/96	PCT			Abst		
G/L	84	96/08484	03/21/96	PCT			Abst		
BL.	85	96/16051	05/30/96	PCT			Abst		
GL.	86	96/40255	12/19/96	PCT			Abst		
in ge	87	97/03953	02/02/97	PCT			Abst		
Be	88	97/33882	09/18/97	PCT			Abst		
<u>B1</u>	89	97/49387	12/31/97	PCT		 -	Abst		
BL	90	97/49736	12/31/97	PCT			Abst		
OL.	91	98/02432	01/22/98	PCT			Abst		
	92	98/06405	02/19/98	PCT			Abst		
<u>BL</u>	93	98/23593	06/04/98	PCT			Abst		
AN BL	94	98/35937	08/20/98	PCT			Abst		
		98/38182	09/03/98	PCT	•		Abst		
<u> </u>	95								
<u>el</u>	96	98/39299	09/11/98	PCT			Abst	-	
<u>e</u> l	97	98/40375	09/17/98	PCT			Abst		
<u>el</u>	98	98/56757	12/17/98	PCT			Abst		
<u> </u>	99	99/11259	03/11/99	PCT					
<u>BL</u>	100	99/11260	03/11/99	PCT			ļ		
<u>ec</u>	101	99/11263	03/11/99	PCT			.1		
HA BE	102	99/14174	03/25/99	PCT			Abst		
<u>ec</u>	103	99/14204	03/25/99	PCT			A1 .		
HI BC	104	99/14215	03/25/99	PCT			Abst		
BC	105	99/32478	07/01/99	PCT					
BL	106	99/35135	07/15/99	PCT					
HH BL	107	99/64409	12/16/99	PCT			Abst		
AN GL	108	00/35889	06/22/00	PCT	-		Abst		
BC	109	2 305 665	04/16/97	United					
	109			Kingdom		-			
a,	110	2 329 334	03/24/99	United					
<u></u>				Kingdom					
	(THER DOCUM							
BC	1		•	and Stereochemi				-	
	111	_	lesteryl Ester Trai	nsfer Protein Inhi	bitor U-106305	5", J. Am	Chem. Soc., 1	996, 118,	
		pgs. 7863-7864	TT: 1 P				A /1 1 .	101	
BC	112	1		oproteins and Co	ronary Heart D	nsease",	Atherosclerosis	s, 121	
		1996, pgs. 1-12.					. 11	D 1' 1	
BC	113		•	niation of Arened		Accomp	anied by Aryl I	kadical	
	114			7, Vol. 52, pgs. 1		. Madir	ad Classes	f I o	
BC	114	ט. Bilneimer et	ai., ivievinolin ai	nd Colestipol Stir	nuiale Recepto	i-iviediat	eu Clearance o	LOW	

OTP & 25 2007 S

Lighto F. 1440	(1°C - 1)	. U.S. Davidson (Co.	1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	TECH CENTER 1600/2900					
USPTO Form 1449 Patent and Tradema	rk Office	U.S. Department of Commerce	Attorney Docket No. 061765,00035	09/912,233					
1		SCLOSURE OF E	(3356/US)	03/312,233					
CITATION		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	(3330, 63)						
Page 4 of 9		2 5 2001 2	Applicant(s): TREMONT et a	ıl					
			Fig. D. July 25, 2001	Examiner: Unassigned					
	1	Danaita Namatain Basan	Filing Date: July 25, 2001	Group: 1 6147 16 3 4					
		Density Deprotein Room Plasma Acad. Sci. USA, Voi. 80, July 198	3, pgs. 4124-4128						
BC	115	C. Bisgaier et al., "Cholesteryl Est No. 12, 1994, pgs. 811-818	er Transfer Protein Inhibition	By PD 140195, Lipids, Vol. 29,					
		D. Blankenhorn et al., "Beneficial	Effects of Combined Colestin	ool-Niacin Therapy On Coronary					
& (116	Atherosclerosis and Coronary Venous Bypass Grafts", JAMA, June 19, 1987, Vol. 257, No. 23,							
		pgs. 3233-3240							
BL	117	D. Blankenhorn et al., "Beneficial		Therapy on the Common Carotid					
, &	1.1		Circulation Vol. 88, 1 July 1993, pgs. 20-28						
BC	118	1	•	fer Protein Identified By Screening					
<u>'</u>	1	a Bacteriophage Display Library",							
	1	G. Brown, et al., "Regression of C		_					
BC	119	Lowering Therapy in Men With High Levels Of Apolipoprotein B", The New England Journal of							
		Medicine, Volume 323, November 8, 1990, Number 19, pgs. 1289-1339.							
		M. Brown et al., "Induction of 3-h		•					
BC	120	Human Fibroblasts Incubated with		_					
		Reductase, The Journal of Biologic	cal Chemistry, Vol. 253, No.	4, February 22, 1978, pgs. 1121-					
	-	1128	Analoga Inhihit Chalogtomil I	Total Dat Not Triples and Transfer					
ar	121	S. Busch et al., "Cholesteryl Ester Analogs Inhibit Cholesteryl Ester But Not Triglyceride Transfer Catalyzed By The Plasma Cholesteryl Ester-Triglyceride Transfer Protein", Lipids, Vol. 25, No. 4							
BC	121	· -	(1990), pgs. 216-220						
	†	C. Camoutsis et al., "N-Substituted	14 5-Dihydro-1 2-Renzothia	zenin-3-One 1 1-Dioxide" I					
BC	122	Heterocyclic Chem. 17, pgs.1135-	•	izopiii 5 Olic 1, 1 Dioxide , 5.					
	100		, ,	cin on Coronary Atherosclerosis A					
BC	123	4-Year Follow-up", JAMA, Decen	•	•					
		P. Catsoulacos et al., "Synthesis of							
BC	124	dimethoxybenzothiazepin-3-one 1,1-Dioxides", J. Heterocyclic Chem., Vol. 13 (1976), pgs. 1309-							
		1314							
		P. Catsoulacos et al., "Thiazo Com	pounds. Derivatives of 4, 5-L	Dihydro-7, 8-					
P1	125	Dimethoxybenzothiazepin-3 one 1							
BL BL	1	22, No. 3, 1977, pgs. 353-354							
Re	126	K. Cho et al, "A Peptide From Hog	g Plasma that Inhibits Human	Cholesteryl Ester Transfer					
	120	Protein", Biochimica et Biophysica							
Q.	127	D. Connolly et al., "Inactivation of	-	·					
	1	Biochemical and Biophysical Rese							
	1	S. Coval et al., "Wiedendiol-A and	•						
SC	128	Marine Sponge Xestosponga Wiedenmayeri", Bioorganic & Medicinal Chemistry Letter, Vol. 5,							
		No. 6, pgs. 605-610, 1995		100 - 100 - 100					
20	120	J. Davignon et al., "Apolipoprotie	-	-					
BL	129	Leads to the Discovery of Pseudo	Type III Dyslipoproteinemia	in a ramily, Atherosclerosis IX,					
		pgs. 199-203, 199 a	CC and C-C-t- CD 4	Ain Minainic Alider 1 mile m					
2.	130	J. Davignon et al., "Comparative E	•	•					
Be	130	1	noiesteroienna, The America	an Journal of Cardiology, February					
	_1	15, 1994, pgs. 339-345							

RECEIVED

OCT 2 9 2001

		OIPE		77 0 U 0	ENTER 1600/2900			
USPTO Form 1449 (n		U.S. Department of Commerce	Attorney Docket No.	┌┤╚┕⊓╰	Serial No.			
Patent and Trademark	Office	CHOCHE CO	061765.00035		09/912,233			
CITATION	ION DIS	CICOURT	(3356/US)					
Page 5 of 9		CIQSURE ST	Applicant(s): TREMONT et al.					
					Examiner: Unassigned			
			Filing Date: July 25, 2001		Group: 16147 1624			
BC	131	C. East et al., "Combination Drug"		ed Hyperli	ipidemia", Annals of			
760		Internal Medicine, July 1, 1988, pg						
BC	1.00	J. Emmerich et al., "Efficacy and S						
150	132	Cholestyramine) A 1 yr. Study in 66 Patients with Type II Hyperlipoproteinaemia", European						
		Heart Journal (1990), 11, pgs. 149-155						
BL	133	D. Erkelens, "Combination Drug T	• •					
		Sequestrants for Hypercholesterolr						
BL	134	H. Ginsberg, "Update on the Treats						
	ļ	Reductase Inhibitors and Combina						
BC	135	C. Glueck et al., "Gemfibrozil-Lov			oroteinemias. The			
		American Journal of Cardiology, J			migrati ingangkang go mengo			
	126	S. Grundy et al., "Influence of Con	• •		•			
BC	136	Reabsorption on Low Density Lipoproteins in Heterozygous Familial Hypercholesterolemia",						
		Annals of Internal Medicine, 1985, 103: pgs. 339-343 H. Gylling et al., "Effects Of Inhibiting Cholesterol Absorption And Synthesis On Cholesterol						
20	137	And Lipoprotein Metabolism In Hypercholesterolemic Non-Insulin-Dependent Diabetic Men",						
90	137	Journal of Lipid Research, Volume		ım-Deper	ident Diabetic Men ,			
BC	138			rican nas	35_40			
	1		E. Haber, "Molecular Cardiovascular Medicine" Scientific American, pgs. 35-40 V. Hegde et al., "A Depsipeptide Fungal Metabolite Inhibitor Of Cholesteryl Ester Transfer					
BC	139	Protein", Bioorganic & Medicinal	•		-			
	1.40	L.Hellberg et al., "5a-Hydroxy-3a-Cholestanecarboxylic" The New Journal for Organic						
BC	140	Synthesis", Vol. 15, Number 1-2, February-April 1983, pgs. 154-156						
0.4	141	J. Heubi et al., "Primary Bile Acid Malabsorption: Defective In Vitro Ileal Active Bile Acid						
BL	141	Transport", Gastroenterology 1982	, 83: pgs. 804-11					
Sc	142	N. Hoogerbrugge et al.,"The Addit						
190	1 '2	Combined Hyperlipidaemia", Journ						
		N. Hoogerbrugge et al., "The Effac						
BC	143	With Bile Acid Binding Resins, In	Familial Hypercholesterolaer	mia", Jour	nal of Internal Medicine			
		1990, 228; pgs. 261-266 A. Hutchesson et al., "Dual Bezafibrate-Simvastatin Therapy For Combined Hyperlipidaemia",						
BC	144				ed Hyperlipidaemia",			
BL	ļ	Journal of Clinical Pharmacy and			40. C 0001 Intities II-1			
24	145	T. Ichihashi, "Mechanism of Hypo Bile Acid Absorption", The Journa						
	143	No. 1, pgs. 43-50, 1998.	i Of Filarmacology And Expe	erimentai	i herapeutics, voi. 284,			
		D. Illingworth, et al., "Influence of	Lovetatin plus Gemfibrozil o	n Dlacma	Linids and Linonrotains			
BC	146	in Patients With Heterozygous Fan						
]	March 1989, 590-596	mar Hyperenoiesteroiema ,	Circulatio	11 voi. 75, ivalliooi 5,			
BC	1.45	D. Illingworth, "Mevinolin Plus Co	olestipol in Therapy for Sever	e Heteroza	vgous Familial			
isc	147	Hypercholesterolemia", Annalos o		-	. •			
Be	148	International Search Report mailed						
Be	149	International Search Report mailed						
36 36 36 36	150	International Search Report mailed						
Be	151	International Search Report mailed						
Be	152	International Search Report mailed						
		· · · · · · · · · · · · · · · · · · ·						

OCT 2 9 2001

TECH CENTER 1600/2900

				JECH CENTER 1600/2900					
USPTO Form 1449 (r Patent and Trademark		U.S. Department of competer	Attorney Docket No.	Serial No.					
INFORMAT		SCLOSURE ()	061765.00035	09/912,233					
CITATION		OCT 2 5 2007 2	(3356/US)						
Page 6 of 9		\	Applicant(s): TREMONT et a	al.					
		The same		Examiner: Unassigned					
		TRADEMAN OF	Filing Date: July 25, 2001	Group: 16147- 16 24					
BC	153	International Search Report mailed							
BC	154	International Search Report mailed							
•		J. Kane, et al., "Regression of Core							
BC	155	• •	ined Drug Regimens", JAMA	A, December 19, 1990, Chapter 26,					
	ļ	Vol. 264, No. 23, pgs. 3007-3012	365 1 1036 1 1036						
0.	1.56	A. Katritzky et al., "Preparation O							
BC	156	Of w-Phenylalkanesulfamoyl Chlorides", Organic Preparations and Procedures Int., 24 (4), pgs.							
	ļ	463-467 (1992) T. Kazumi et al., "Effects of Niceritrol On Elevated Serum Lipoprotein LP (A) Levels in Diabetic							
0.	157		<u>-</u>	- ' '					
BC	157	Patients With Or Without Overt Pr	otemuria, Current Therapeu	tic Research, Vol. 55, No. 5, May					
· · · · · · · · · · · · · · · · · · ·	}	1994, pgs. 546-551	A rid Ahmanalama The Turns	et extracte et et var enclean a vari					
O A	158	W. Kramer, et al., "Intestinal Bile 268, No. 24 Issue of August 25, pg		at of Biological Chemistry. Vol.					
BC	136	1	<u> </u>						
_	150	Kuo, M.S. et al., "Discovery, Isolation, Structure Elucidation, and Biosynthesis of U-106305, a Choresteryl Ester Transfer Protein Inhibitor from UC 11136", J. Am. Chem. Soc. 117, pp. 10629-							
BC	159	· ·	Inhibitor from UC 11136", J	. Am. Chem. Soc. 117, pp. 10629-					
		10634 (1995)	177	D. C. I.D. AWY					
\Im	160	Kvis, K. et al., "Benzocycloheptenes and Heterocyclic Analogues as Potential Drugs. VII, 4-Phenyl-,3,4,5-Tetrahydro-1-Benzothiepins and Some Related Compounds", Chem.							
BC	100	• • • • • • • • • • • • • • • • • • • •	-	ompounds", Chem.					
		Commun./Vo.37/(1973) pgs. 3808		from an Industry against d France?					
BC	161	Lee, J.C. et al., "A Cholesteryl Est The Journal of Antibiotics 49(7), p		from an insect-associated rungus,					
		A.M. Lees et al., "Therapy of Hyp	<u> </u>	nolin And Other Linid-Lowering					
BC	162	Drugs", Arteriosclerosis 6, 1986, p		noim And Other Lipid-Lowering					
				n with Cholestyramine on Serum					
		T. Leren et al., "Effects of Lovastatin Alone and In Combination with Cholestyramine on Serum Lipids and Apolipoproteins in Heterozygotes for Familial Hypercholesterolemia", International							
SC	163	Journal for Research and Investigation on Atherosclerosis and Related Diseases, 73, (1988), pgs.							
		135-141							
72.	164	M. Lewis, et al., "Effects Of 21641	U90 on Ileal Bile Acid Absor	ption and Serum Cholesterol in					
BC	164	Rats and Mice, Journal of Lipid Re	•	•					
BC	165	R. Lewis, Hawley's Condensed Ch	nemical Dictionary, pg. 1238						
19C	103								
BC	166	W. Ling et al., "Minireview Dietar	• •	Metabolism, Benefits and Side					
BC_	ļ <u>.</u>	Effects", Life Sciences, Vol. 57, N							
	1.5	H. Mabuchi et al., "Reduction of S	-						
BC	167	Hypercholesterolemia", The New	England Journal of Medicine,	, Vol. 308, March 17, 1983,pgs.					
		609-613							
BC	168	M. Malloy et al., "Complementarit	• • • • • • • • • • • • • • • • • • • •						
	100	Familial Hypercholesterolemia", A		· · · · · · · · · · · · · · · · · · ·					
BC	169	W. Mandeville et al., "Bile Acid Sequestrants: Their Use In Combination With Other Lipid-							
	ļ.,	Lowering Agents, Idrugs 1999 Vo							
_		G. Marais et al., "Rhabdomyolysis							
BC	170	and Gemfibrozil Therapy", Annals of Internal Medicine, February 1, 1990, Volume 112, Number							
		3, pgs. 228-230 P. McCarthy, "New Approaches to Atherosclerosis: An Overview", Medicinal Research Reviews,							
BC	171			ew", Medicinal Research Reviews,					
	<u> </u>	Vol 13 No 2 1993 nos 139-159)						

RECEIVEL

OCT 2 9 2001

· 				TECH CENTER 1600/2900
USPTO Form 1449 (I Patent and Trademark INFORMAT CITATION	k Office	U.S. Department of Commerce	Attorney Docket No. 061765.00035 (3356/US)	09/912,233
Page 7 of 9		(TT 2 5 2001 2)	Applicant(s): TREMONT et al	. Examiner: Unassigned
			Filing Date: July 25, 2001	Group: 16147 1624
		Vol. 13, 2, 1993 orgs. 139-159		
30	172	R. Morton, "Regulation of Lipid T Protein: Selective Inhibition Amor 1994, pgs. 836-847		•
AN BC	173	F. Nerdel et al., "Quartermay Salts Berichte (Ed. H. Zahn), Vol. 98 (1		3-Iodoaldehydes", Chemische
BC	174	M. Newman et al., "The Conversion Journal Of Organic Chemistry, Vo	on of Phenols to Thiophenols v	
Be	175	A. Orahovats et al., "A Ring Enlar Derivatives", Helvetica Chimica A	•	_
BC	176	H. Pan et al., "Pharmacokinetics and Cholestyramine in Hypercholester	olemia", Clin. Pharmacol Ther	r. (1980) 9, 313, pgs. 201-207
BC	177	N. Panagiotopoulos et al., "N(P-Bi Benzothiazepine – ONE 1, 1-Diox 313-319	ide C17 H16 brNO5S", Cryst.	Struct. Comm. (1980) 9, pgs.
BC	178	R. Pasternak et al., "Effect of Com Coronary Heart Disease and "Norr 1, 1996, Vol. 125, Number 7, pgs.	nal" Cholesterol Levels", Ann	
Sec	179	R. Patra et al., "Conformational an Participation In Benzthiepin Deriv 1989		
BC	180	R. Pierce et al., "Myopathy and Ri Combination Therapy, JAMA, Jul		
BC	181	W. Pirkle et al., "Trichlorosilane-I From Carbamates", Jouranal Orga	•	_
BC	182	W. Pirkle et al., "Dynamic NMR S Determination of Relative Configu 26, 1979, pgs. 4891-4896		
BC	183	W. Pirkle et al., "An Example of A Spectrum Resolving Agent and Re Organic Chemistry Volume 39, No	solution of 1-(Naphthyl) 2, 2,	aphy Synthesis of a Broad- 2-Trifluroethanol", The Journal of
BC	184	T. Pietzonka et al., "Phosphonate-Cholesteryl Ester Transfer Protein" pgs. 1951-1954, 1996.	• •	steryl Ester As Novel Inhibitors Of emistry Letters, Vol. 6, No. 16,
BC	185	Pravastatin Multicenter Study Gro Cholestyramine Alone And Comb Internal Medicine, Vol. 153, June	ined in Patients With Hypercho 14, 1993, pgs. 1321-1328	olesterolemia", Archives of
13L	186	E. Reihner et al., "Regulation of H of Cholestyramine on HMG-CoA Expression In Gallstone Patients, J	Reductase Activity and Low Dournal of Lipid Research, Vol	Density Lipoprotein Receptor ume 31, 1990, pgs. 2219-2226
BC	187	R. Remick et al., "Comparison of I Therapeutic Research, Vol. 53, No	. 5, May 1993, pgs. 457-483	
30	188	S. Rosenblum et al., "Discovery of hydroxypropyl]-(4S)-(4-hydroxypl		

RECEIVED

OCT 2 9 2001

Honmo n		HS D. S. P.	γ	TEOLOGATE A ACCOMPANA					
USPTO Form 1449 (I Patent and Trademark INFORMAT	k Office	U.S. Department of Columerce	Attorney Docket No. 061765.00035	TECH CENTER # 600/2900 09/912,233					
CITATION	1011 1010	OCT 2 5 2001	(3356/US)						
Page 8 of 9			Applicant(s): TREMONT et	al					
rage 8 01 9		PARADEMAN CO	Filing Date: July 25, 2001	Examiner: Unassigned Group: 16147 1624					
		Active Inhibitor of Cholesterol Ab		al Chemistry, 1998, Vol. 41, No. 6,					
		pgs. 973-980	•	• • • • • • • • • • • • • • • • • • • •					
			d Benzothizepine Derivative	s: Structures of N-p-Bromophenyl-					
0.	100	6, 7-Dimethoxy-1, 2-Benzothiazin-3(4H)-One 1, 1-Dioxide (BBTZ) and 4, 5-Dihydro-8,9-							
BC	189	Dimethoxy-N-(5-Methyl-2-Pyridyl)-1,2-Benzothiazepin-3-One 1, 1-Dioxide (MPTE)", Acta							
		Cryst. (1986) C42, pgs. 1581-1584	ļ	·					
	<u> </u>	J. Sasaki et al., "Effects of Fluvasta	atin, A New Inhibitor of HM	IG-CoA Reductase, and Niceritol on					
BC	100	Serum Lipids, Lipoproteins and Ch	holesterol Ester Transfer Act	tivity In Primary					
SC	190	Hypercholesterolemic Patients", International Journal of Clinical Pharmacology and Therapeutics,							
		Vol. 33, No. 7, 1995, pgs. 420-426							
<i>A</i> -	101	K. Sindelar et al., "Neurotropic and		XXIX. Derivatives Of 2,3,4,5-					
BC	191		etrahydro-1-Benzothiepin, Chemical Commun., Vol. 33, 1968, pgs. 4						
		K. Sindelar et al., "Benzocyclohep							
BC	192	Further Synthetic Experiments In	The Series Of 1-Benzothiepi	n Derivatives, Vol. 37, 1972, 1195-					
		1206	•						
<u> </u>	193	C. Sirtori, "New Targets For Lipid	Lowering And Atherosclere	osis Prevention", Pharmac. Ther.					
BC	193	Vol. 67, No. 3., pgs. 433-447, 199	95						
<u> </u>	104	Y. Son, "Purification and Characterization of Human Plasma Proteins That Inhibit Lipid Tra							
BC	194	Activities", Biochimica et Biophys							
0.1	195	D. Sprecher et al., "Low-Dose Combined Therapy with Fluvastin and Cholestyramine in							
3C	193	Hyperlipidemic Patients", Ann Inte							
BC	196	C.I. Stassinopoulou, et al., "C NMR Spectra of Benzothiazepinone, Benzothiazinone and							
, OC	196	Benzosulphonamide N-Substituted Derivatives" Department of Biology, Nuclear Research Center 198							
	197		Bile Acids and Cholesterol with Non-Systemic Agents Having						
Be	197	Hypocholesterolemic Properties",							
BC	100	I. Stein, et al., "Effects of Simvastatin and Cholestyramine in Familial and Nonfamilial							
BC.	198		n Med. Vol. 150, February 1990, pgs. 341-345						
7	100	E. Stein, et al., "Lovastatin Alone	And In Combination For Tre	eatment Of Primary					
BC	199	Hypercholesterolema", Alan R. Lis	ss, Inc. 1988, pgs. 281-293						
0.	200	K. Suckling, et al., "Cholesterol Lo		etion in the Hamster with					
BC	200	Cholestyramine Treatment, Athero							
	1-3-5-	T. Swenson, "Mechanism of Chole							
2.	201	Monoclonal Antibody and Mappin							
30		Biological Chemistry, Vol. 264, N	•	•					
	 	A. Tall, "Plasma Cholesteryl Ester							
BC	202	1993, pgs. 1255-1274	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	7. 2.p.u 1.050mon ,					
	 	Y. Tamura et al., "Novel Convers	ions of Benzo [h] thionhen-?	3 (2-H)-ones into 1, 2-					
BC	203								
		Benzisothiazole and Tetrahydro-1, 2-Benzothiazepin-5-One Systems via Sulphimide Intermediates, J.C.S. Perkin I, pgs. 2830-2834, 1980							
	 	K. Thurmond et al., "Water-Solubl		ne Prenaration of Shell-Cross-					
21	204	Linked Small Particles", Journal A							
BC	204	7240	increan Chemistry Soc. Vo	i., 110, 110. 30, 1770, pgs. 7237					
	+		r Drug Daliyarı'' Dharmasa	utical Research, Vol. 3. No. 6 1986,					
Be	205	-	i Ding Delivery, Pharmace	uncai Research, vol. 5. No. 0 1980,					
	1	pgs. 318-325							

426149_1.DOC

RECEIVED

OCT 2 9 2001

	nodified)	U.S. Department of Commerce	Attorney Docket	No. IECH (CENTER: 4600/2900			
Patent and Trademark INFORMAT		SCLOSURE DE	061765.000	35	09/912,233			
CITATION	1011 210	O I F	(3356/US))				
Page 9 of 9		OCT 2 5 2001 (4)	Applicant(s): TREMONT et al.					
		2	Filing Date: July 25,		Group: 16147 1624			
_		M. Une etal, "Metal sizem of 3a,"						
BC	206	Dihydroxy-78-200-5B-Choland	oic Acid Hamsters, E	Biochimica et Biop	ohysica Acta, 833 (1985),			
		pgs. 196-202						
BC	207	J. Vacek et al., "Comparison of La						
	207	Alone for Type II Hyperlipoproteinemia, The American Journal Of Cardiology, Vol. 76, July 15,						
		1995, pgs. 182-184 M. Van Heek et al., "In Vivo Meta	holiem Beard Disea	waru of a Dotart	Tholograph Absorption			
BC	208	Inhibitor, SCH58235, in the Rat and Rhesus Monkey Through the Identification of the Active Metabolites of SCH48461", The Journal of Pharmacology and Experimental Therapeutics, Vol.						
		Metabolites of SCH48461", The Journal of Pharmacology and Experimental Therapeutics, Vol. 283, No. 1, pgs. 157-754,1997.						
G. Vogs et al. "Treetment of Primary Moderate Hymershelecterolemia With Loyactatin								
BC	209	(Mevinolin) and Colestipol", JAM						
_			G. Wess et al., "Synthesis and Biological Activity of Bile Acid-Derived HMG-CoA Reductase					
BC	210	Inhibitors. The Role of 21-Methyl in Recognition of HMG-CoA Reductase and the Ileal Bile Acid						
		Transport System", Journal Of Me						
BC	211	J. Wetterau et al., "An MTP Inhibit		Atherogenic Lipor	protein Levels In WHHL			
		Rabbits", Science Vol. 282, 10/23/		1: 0 1: ::	C 1 T C			
BC	212	O. Wiklund et al., "Pravastatin and						
		Hypercholesterolemia", The Amer S. Wirebaugh et al., "A Retrospect						
90.4	213	Combination, Specifically, Gemfib						
BC	. 213	1992, pgs. 445-450	10211 and Lovasiain	i , i naimacomera	py voi. 12, indinoct o,			
	214	J. Witztum, "Drugs Used In The T	reatment of Hyperli	ipoproteinemias".	The Pharmacological			
BC	214	Basis of Therapeutics, 9 th Edition,						
·	215	Yan Xia et al., "Substituted 1,3,5-T			r Protein Inhibitors",			
BC	215	Bioorganic & Medicinal Chemistry						
0.4	216	A. Yamamoto et al., "Effects of Pro	obucol on Xanthom	ata Regression in	Familial			
BC	216	Hypercholesterolemia", Am Journa						
21	217	K. Ytre-Arne et al., "Simvastatin a						
BC	21/	Hypercholesterolaemia", Journal o	f Internal Medicine	(1989): 226, pgs. 3	285-90			
EXAMINER		enda Coleman		DATE CONSID	EDED			
EAAMIINEK		more common			ne 13, 2003			

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

**Copies of references not provided at the time of this submission.

#5

Please type a plus sign (+) inside this box

PTO/SB/08A (08-00) Approved for use through 10/31/2002. OMB 6851-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

4014 1624

Under the Paperwork Reduction Act of 1995, no sessons are to respond to a collection of information unless it contains a valid OMB control number. C mplete if Known Substitute for form 1449A/PTO 09/912,233 **Application Number** INFORMATION DISCLOSURE July 25, 2001 Filing Date STATEMENT BY APPLICANT First Named Inventor TREMONT et al.

(use as many sheets as necessary) **Examiner Name** Unassigned 061765.00195 (S0-3356/01/US Sheet 1 of Attorney Docket Number

Group Art Unit

		U.S. Patent Documen	Name of Patentee or Applicant	Date of Publication of	Pages, Columns, Lines, Where Relevant		
Examiner Initials *	Cite No.1	Number Kind Code (if known)		Cited Document MM-DD-YYYY	Passages or Re	ear subcle	
BC	218.	3,694,446	Houlihan et al.	09/26/72	260	26836	
	219.	4,346,227	Torhara et al.		-dup l'icata		
BC	220.	4,410,629	Terahara et al.	10/18/83	435	146	
BL	221.	4,448,979	Terahara et al.	05/15/84	549	<u> 392</u>	
	ļ						

					FOREIGI	N PATENT DOCU	PATENT DOCUMENTS				
	Examiner	0:1-	Fore	ign Patent Doo	ument	Name of Patentee	Date of Publication of	Pages, Columns, Lines, Where Relevant			
	Initials*	Cite No. ¹	Office ³	Number ⁴	Kind Code ⁵ (<i>if known</i>)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ₆		
**	BC	222.	DE	3 122 499	A1	Germony	12-24-1981				
	Be	223.	EP	0 022 487	A1	Europe	01-21-1981				
	Be	224.	EP	0 033 538	B1	Ewope	08-12-1981				
	BL	225.	EP	0 244 364	A2	Europe	11-04-1987				
	BL	226.	EP	0 409 281	A1	Europe	01-23-1991				
	Be	227.	GB	1 211 258		Great Britian	0FP1-40-11				
	BC	228.	GB	2 077 264	A ^r	Great Britier	112-16-1981				
		229.	WO_	98/38182				duplicate			
		-230.	WO-	98/40375				duplicate			
*	x BC	231.	wo	00/61568	Α	PCT	10-19-2000				

	and to have				
Examiner Signature	Brando	Coleman	Date Considered	June	13,2003

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside this box

PTO/SB/tb. 08-00)

Approved for use through 10/31/2002. OMB 956 -0031

Approved for use through 10/31/2002. OMB 956 -0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no company of the paperwork Reduction Act of 1995, no company of the 1995, no company of the 1995, no company of the 1995, no company

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

	,		 	 	 •	 ,
	_	_	 	_	-	

	Complete if Kn wn	
Application Number	09/912,233	- 10
Filing Date	July 25, 2001	<u></u>
First Named Inventor	TREMONT et al.	9
Group Art Unit	1614 1624	2900
Examiner Name	Unassigned	8
Attorney Docket Number	061765.00195 (S0-3356/01/US)	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
BC	232.	Angelin, B., "Regulation of Hepatic Cholesterol Metabolism in Man," Ann. Med. 23, pp. 10-27 (1991).		
B(233.	Blum, C. B., "Comparison of Properties of Four-Inhibitors of 3-Hydroxy-3-Methylglutaryi-Coenzyme A Reductase," Am. J. Cardiol., 73(14), 3D-11D, (1994).		
Be	234.	Cayen, M.N., "Dispositi9n, Metabolism and Pharmacokinetics of Anthyperlipidemic Agents in Laboratory Animals and Man," Pharmac. & Ther., 29, pp. 157-204 (1985).		
Be	235.	Da Col, et al., "Tolerability and Efficacy of Combination Therapy with Simvastatin Plus Gemfibroail in Type II Refractory Familial Combined Hyperlipidemia," Curr. Therap. Research, Vol. 53, No. 5, pp. 473-483 (1993).		
BC	236.	Davignon, et al. "HMG CoA Reductase Inhibitors: A look back and a look ahead," Can. J. Cardiol., 8(8), pp. 843-864 (1992).		
Be	237.	Endo, A. *Chemistry, biochemistry and pharmacology of HMG-Co-A reductase inhibitors,* Klin. Wochemschr. 66, pp. 421-427 (1988).		
BC	238.	Kramer et al., *Bile acid derived HMG-CoA reductase inhibitors,* Biochimica dt Biophysica Acta, 1227 pp. 137- 154 (1994).		
BC	239.	Marcus, A., *Role of the HMG-CoA Reductase Inhibitors in the Treatment of Dyslipidemia: An Evolutionary Review,* CVR&R, pp. 13-27 (Janaury 1996).		

Examiner Branda Coleman	Date Considered June 13, 2003
-------------------------	-------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.